

Advance Change Notice (ACN) may be required for a change to a company or branch Main Address within the Company (MU1) Form or Branch (MU3) Form. Review the <u>State Licensing</u> <u>Requirements</u> Amendment Checklist(s) for the respective state agency's ACN requirements.

The image below highlights the sections of the Identifying Information screen that trigger an ACN.

Identifying Informat	ion				
, ,					
Main address (Do not use a P			-		
Number & Street:					
Humber & Breek					
City:	Alexandria				
State:	Virginia			ACN	
Country / Province:	United States			Amendme	nt
Postal Code:	22314			Ameriame	
Do you want to amend your n	nain address?		-		
If yes, check here:					
New main address (Do not us	e a PO Box):				
New Number & Street:]			
]			
New City:]			
New State:]			
New Country / Province:		1			
New Postal Code:					
Effective Date:		(MM/DD/YYYY)	-		
Mailing address:					
	Copy Main Address				
PO Box or Number & Street:	901 Semmes Avenue	-			
City:	Richmond	1			
	Virginia	1			
Country / Province:	United States]			
Postal Code:	23224]			
Business phone, fax and ema	il address:		-		
Business Phone:	804-291-0025	000-000-0000x0000			
Fax Line:	804-291-0276	999-999-9999			
Email Address:	test@test.com]			

The following instructions include steps to complete the below actions in NMLS.

• How to submit an ACN for a company or branch Main Address change

- How to modify a pending ACN for a company or branch Main Address change
- How to cancel a pending ACN for a company or branch Main Address change
- Advance Change Notice Document Upload

How to submit an ACN for a company or branch Main Address Change

- 1. Log in to your company NMLS account.
- 2. Click FILING
- To change the Main Address of the Company, click Company (MU1) in the sub-menu.
 For changes to the Main Address of a specific Branch, click Branch (MU3) in the sub-menu.
- 4. Click Create New Filing
- 5. Click Identifying Information on the left Navigation Panel.
- 6. Check the box, answering "yes", to Do you want to amend your main address?



- 7. Enter your entity's new address.
- 8. Review the State Agency Checklist applicable to the state and license being changed for instructions on documentation required as part of this change.

Note: If your state agency does not require you to report an ACN for this change, enter the date on which a change to a licensee's NMLS information is intended to be effective as the Effective Date. If your state agency does require you to report an ACN for this change, enter the appropriate future date as the change's Effective Date. The Effective Date must be a current or future date of up to 120 days. Review the Amendment Checklist for the state and license type for ACN requirements.

Do you want to amend your main address?	
If yes, check here:	
New main address (Do not use a PO Box):	
New Number & Street:	
7. New City:	
New State:	
New Country / Province:	
New Postal Code:	
8. Effective Date:	(MM/DD/YYYY)

- 9. Click Save
- 10. Proceed to the Attest and Submit section.

Note: Some agencies charge an amendment fee when certain information changes. The respective state's Amendment Checklist(s) will provide a complete list of fees.

11. If all completeness checks pass, review the attestation language, attest and submit.

How to modify a pending ACN for a company or branch Main Address change

- 1. Log in to your company NMLS account.
- 2. Click FILING
- To change the Main Address of the Company, click Company (MU1) in the sub-menu.
 For changes to the Main Address of a specific Branch, click Branch (MU3) in the sub-menu.
- 4. Click Create New Filing
- 5. Click **Identifying Information** on the left Navigation Panel.
- 6. Modify the New Main Address or Effective Date as needed.
- 7. Click Save

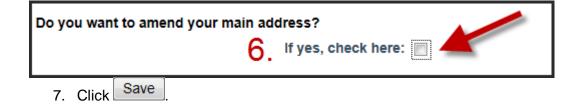
Do you want to amend your main address?							
If yes, check here: 🔽							
New main address (Do not use a PO Box):							
New Number & Street:	458 Main Street]					
New City:	Bedford Falls]					
New State:	New York						
New Country / Province:	United States						
New Postal Code:	12346						
Effective Date:	08/31/2013	(MM/DD/YYYY)					

Note: This action will change the "Pending Change Notice Type" to **Amend Creation** and place it in the **Unsubmitted Change Notices** list. To undo the amendment click the undo icon (

- 8. Proceed to the Attest and Submit section.
- 9. If all completeness checks pass, review the attestation language, attest and submit.

How to cancel a pending ACN for a company or branch Main Address change

- 1. Log in to your company NMLS account.
- 2. Click FILING
- To change the Main Address of the Company, click Company (MU1) in the sub-menu.
 For changes to the Main Address of a specific Branch, click Branch (MU3) in the sub-menu.
- 4. Click Create New Filing
- 5. Click **Identifying Information** on the left Navigation Panel.
- 6. Uncheck the box, answering "yes", to **Do you want to amend your main address?** This will cancel the pending change.



Note: This action will cancel the ACN and place it in the **Unsubmitted Change Notices** list. The **Pending Change Notice Type** will change to **Cancel Creation**. To undo the cancellation click the undo icon (

- 8. Proceed to the Attest and Submit section.
- 9. If all completeness checks pass, review the attestation language, attest and submit.

Advance Change Notice Document Uploads

- 1. Log in to your company NMLS account.
- 2. Click FILING
- 3. Click Company (MU1) in the sub-menu.
- 4. Click Create New Filing
- 5. Click Document Uploads on the left Navigation Panel.
- 6. Click Add
- 7. Select the "Advance Change Notice" Document Type.

10 (03013) NOT HING CREATED OF 12010 by Value W1.

To upload a document, provide the details below and click Browse to select a PDF file (not exceeding 10 MB). Not all document types allow you to select a state.



Note: Advance Change Notice is a new Document Type available for upload. All ACN Document Type submissions are considered proposed documents. Upon the effective date of the change, documents must be re-submitted under the appropriate Document Type (do not re-submit under Advance Change Notice as of the effective date). If the submission does not meet an existing Document Type, documents must be mailed to the agency.

- 8. Enter a Comment related to the file as needed.
- 9. Browse for the file and select Upload & Save